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PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>  <b>(37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing <b>OR</b> <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	800528
	<b>First Named Inventor</b>	Wheeler, David B.
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09/681530
	<b>Filing Date</b>	4/24/2001
	<b>Group Art Unit</b>	2183
	<b>Examiner Name</b>	

**As a below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**System And Method For Determining User Identity Fraud Using Similarity Searching**

The specification of which (Title of the Invention)  
☐ is attached hereto  
OR  
☒ was filed on (MM/DD/YYYY) **04/24/2001** as United States Application Number or PCT International

Application Number **09/681530** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, and foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/201073	04/26/2000	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent, Washington, DC 20231.



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**DECLARATION – Utility or Design Patent Application**

Direct all Correspondence to:

Customer Number  
or Bar Code Label

23372

OR ☐

Correspondence address below

Name Douglas D. Russell

Address 4807 Spicewood Springs Road

Address Building One, Suite 1200

City Austin

State Texas

Zip 78759

Country US

Telephone 512-338-4601

Fax 512-338-4651

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any)) David B.

Family Name

or Surname Wheeler

Inventor's  
Signature

Date 6/29/01

Residence: City Austin

State TX

Country US

United States of America  
Citizenship

Mailing Address 5809 Carry Back Lane

Mailing Address

City Austin

State TX

Zip 78746

Country US

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any)) John R.

Family Name

or Surname Ripley

Inventor's  
Signature

Date

Residence: City Round Rock

State TX

Country US

Citizenship Canada

Mailing Address 1415 Baffin Cove

Mailing Address

City Round Rock

State TX

Zip 78729

Country US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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**DECLARATION – Utility or Design Patent Application**Direct all Correspondence to: ☒ Customer Number or Bar Code Label **23372** OR ☐ Correspondence address belowName **Douglas D. Russell**Address **4807 Spicewood Springs Road**Address **Building One, Suite 1200**City **Austin**State **Texas**Zip **78759**Country **US**Telephone **512-338-4601**Fax **512-338-4651**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any]) **David B.**

Family Name

or Surname **Wheeler**Inventor's  
Signature

Date

Residence: City **Austin**State **TX**Country **US**United States of America  
CitizenshipMailing Address **5809 Carry Back Lane**

Mailing Address

City **Austin**State **TX**Zip **78746**Country **US**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any]) **John R.**

Family Name

or Surname **Ripley**Inventor's  
SignatureDate **6-26-01**Residence: City **Round Rock**State **TX**Country **US**Citizenship **Canada**Mailing Address **1415 Baffin Cove**

Mailing Address

City **Round Rock**State **TX**Zip **78729**Country **US**☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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PTO/SB/02A (11-00)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Paul		Leury	
Inventor's Signature		Date	
Residence: City Cedar Park	State TX	Country US	Citizenship Canada
Mailing Address 1001 Hunter's Creek Drive			
Mailing Address			
City Cedar Park	State TX	ZIP 78613	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Steven C.		Wotring	
Inventor's Signature		Date 6/24/2001	
Residence: City Austin	State TX	Country US	Citizenship United States of America
Mailing Address 2817 Lariat Trail			
Mailing Address			
City Austin	State TX	ZIP 78734	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inv ntor's Signature		Date	
R sidence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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**ASSIGNMENT OF INVENTION AND  
PATENT APPLICATION**

Docket Number (optional)  
800528

FOR VALUE RECEIVED, David B. Wheeler (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto Infoglide Corporation, having its principal office at 11100 Metric Blvd., Ste. 750, Austin, TX 78758 (hereinafter ASSIGNEE), 100% of ASSIGNOR'S right, title and interest in and to:

- (a) the invention entitled "**System and Method for Determining User Identity Fraud Using Similarity Searching**";
- (b) the application for United States patent therefor, signed by ASSIGNOR on the date listed below, U.S. Patent and Trademark Office Serial Number 09/681,530, filed 4/24/2001;
- (c) any patent or reissues of any patent that may be granted thereon; and
- (d) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

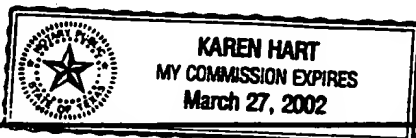
Signature: *David B. Wheeler*  
Name: David B. Wheeler (ASSIGNOR)

Date 6/29/01

State of Texas )

County of TRAVIS )

Before me, the undersigned authority, on this 29th day of June 2001, personally appeared David B. Wheeler, known to me or proved to me through \_\_\_\_\_ to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



*Karen Hart*  
Notary Public

**ASSIGNMENT OF INVENTION AND  
PATENT APPLICATION**

Docket Number (optional)  
800528

FOR VALUE RECEIVED, John R. Ripley (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto Infoglid Corporation, having its principal office at 11100 Metric Blvd., Ste. 750, Austin, TX 78758 (hereinafter ASSIGNEE), 100% of ASSIGNOR'S right, title and interest in and to:

- (a) the invention entitled "**System and Method for Determining User Identity Fraud Using Similarity Searching**";
- (b) the application for United States patent therefor, signed by ASSIGNOR on the date listed below, U.S. Patent and Trademark Office Serial Number 09/681,530, filed 4/24/2001;
- (c) any patent or reissues of any patent that may be granted thereon; and
- (d) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature:   
Name: John R. Ripley (ASSIGNOR)

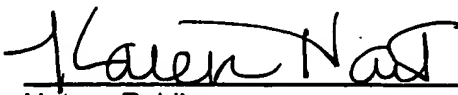
Date 6-26-01

State of Texas )

County of TRAVIS )

Before me, the undersigned authority, on this 26th day of JUNE 2001, personally appeared John R. Ripley, known to me or proved to me through \_\_\_\_\_ to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



  
Notary Public

**ASSIGNMENT OF INVENTION AND  
PATENT APPLICATION**

Dock t Number (optional)  
800528

FOR VALUE RECEIVED, Steven C. Wotring (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto Infoglide Corporation, having its principal office at 11100 Metric Blvd., Ste. 750, Austin, TX 78758 (hereinafter ASSIGNEE), 100% of ASSIGNOR'S right, title and interest in and to:

- (a) the invention entitled "**System and Method for Determining User Identity Fraud Using Similarity Searching**";
- (b) the application for United States patent therefor, signed by ASSIGNOR on the date listed below, U.S. Patent and Trademark Office Serial Number 09/681,530, filed 4/24/2001;
- (c) any patent or reissues of any patent that may be granted thereon; and
- (d) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: \_\_\_\_\_

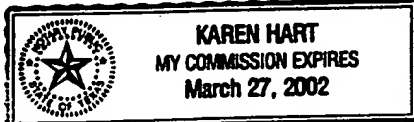
Name: Steven C. Wotring (ASSIGNOR)

Date 6/24/2001

State of Texas )

County of TRAVIS )

B fore me, the undersigned authority, on this 24TH day of JUNE 2001, personally appeared Steven C. Wotring, known to me or proved to me through \_\_\_\_\_ to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Karen Hart  
Notary Public

**ASSIGNMENT OF INVENTION AND  
PATENT APPLICATION**

Docket Number (optional)  
800528

FOR VALUE RECEIVED, Paul Leury (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto Infoglid Corporation, having its principal office at 11100 Metric Blvd., St . 750, Austin, TX 78758 (hereinafter ASSIGNEE), 100% of ASSIGNOR'S right, title and interest in and to:

- (a) the invention entitled "**System and Method for Determining User Identity Fraud Using Similarity Searching**";
- (b) the application for United States patent therefor, signed by ASSIGNOR on the date listed below, U.S. Patent and Trademark Office Serial Number 09/681,530, filed 4/24/2001;
- (c) any patent or reissues of any patent that may be granted thereon; and
- (d) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: \_\_\_\_\_  
Name: Paul Leury (ASSIGNOR)

Date \_\_\_\_\_

State of Texas )

)

County of \_\_\_\_\_ )

Before me, the undersigned authority, on this \_\_\_\_\_ day of \_\_\_\_\_, 2001, personally appeared Paul Leury, known to me or proved to me through \_\_\_\_\_ to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

\_\_\_\_\_  
Notary Public